

Wallingford Community Pool

TLBA

August 2019

Wallingford Community Pool August 21, 2019

Michael Savenelli Chair, Pool Committee

12 34

Kenny Michaels

Director of Parks & Recreation

Michelle Bjorkman
Superintendent of
Recreation

Pool Committee Members:

Dave Gelo Hal Chait John Gawlak



Michael P. Fortuna, AIA TLBA



Alyssa Fazzino TLBA



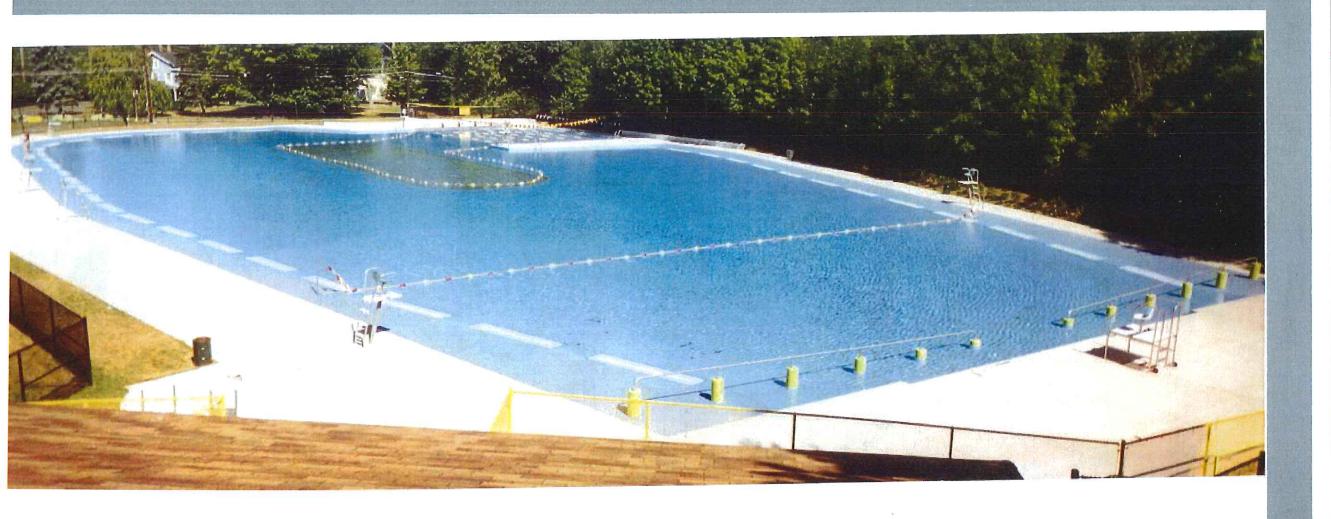
William Richter, AIA, ASLA Richter & Cegan

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Introductions



- A. Pool, Approximately 43,000 sf.
- B. 6-Lane, 25-Yard Lap Pool
- C. Bathhouse, Approximately 3,125 sf
- D. Filter Plant
- E. Bus Drop-off in travel lane at curb
- F. Parking Lot: 98 Spaces



Existing Pool



- Pool, Approximately 8,000 sf.
- 4-Lane, 25-Yard Lap Pool
- Bathhouse, Approximately 3,060
- Pool Pump Room in Bathhouse
- Parking Lot: 116 Spaces
- Shade Structures

Site Plan - Base Bid

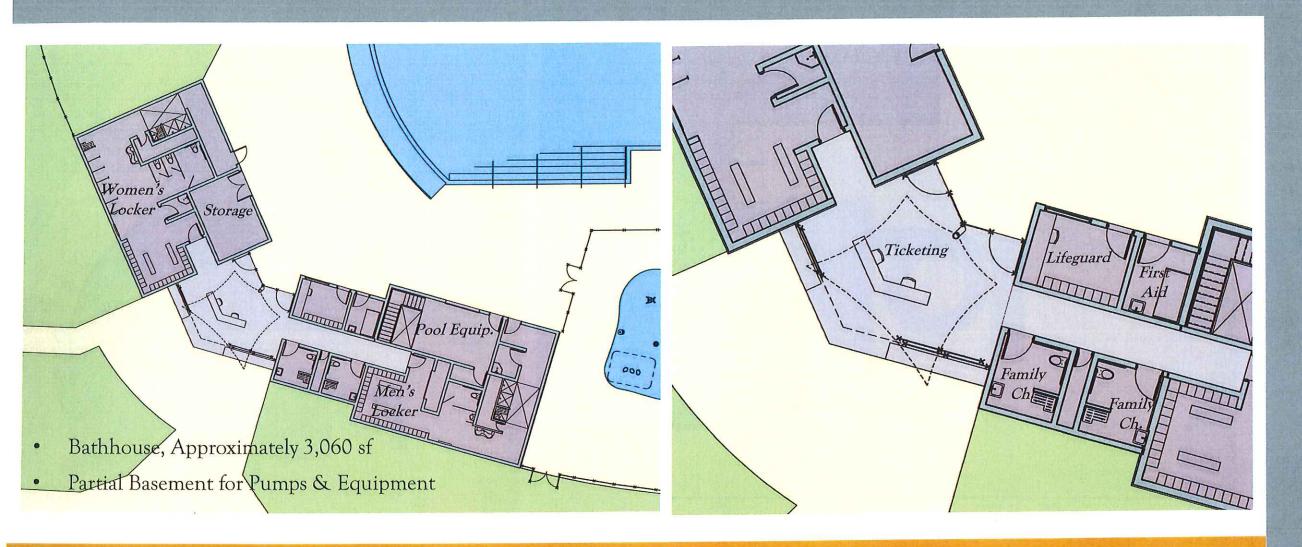


Site Plan - Full Development

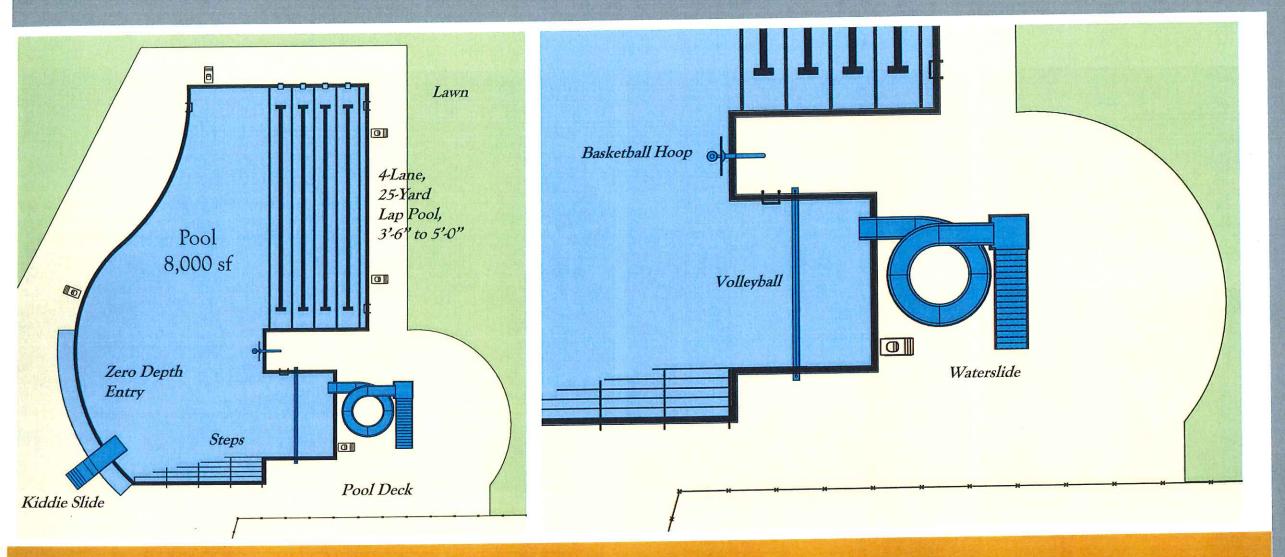


- from Base Bid)
- K. Sand Volleyball
- L. Playground
- M. Picnic Pavilion
- N. Activity Lawn
- O. Planting Beds
- P. Gravel Path and Possible Community Gardens

Site Plan - Alternate Bid



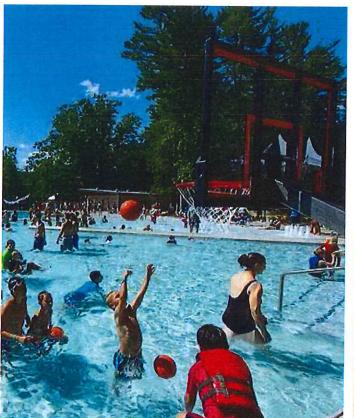
Bathhouse Bathhouse



Pool Layout



Open Flume Slide



Basketball Hoop

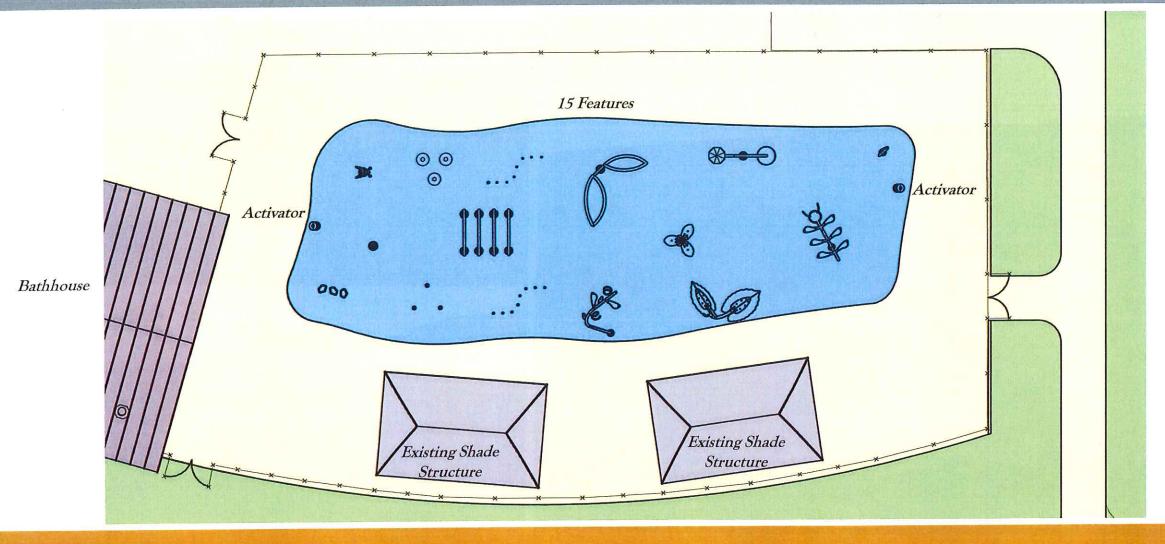


Kiddie Slide



Volleyball

Pool Features



Splash Pad













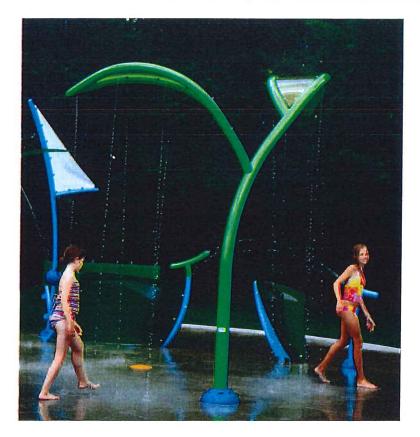




Splash Pad Features









Splash Pad Features



Reuse (5) Existing Shade Structures



New Structure at Building Entry



Alternate: Shade Kite

Shade Structures



Bathhouse Rendering

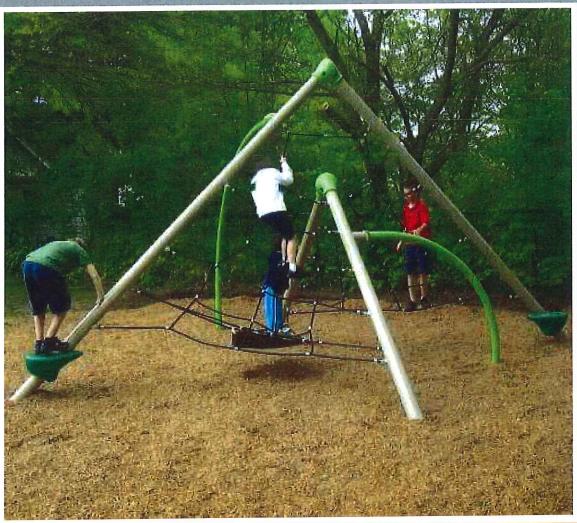


Site Rendering - Base Bid



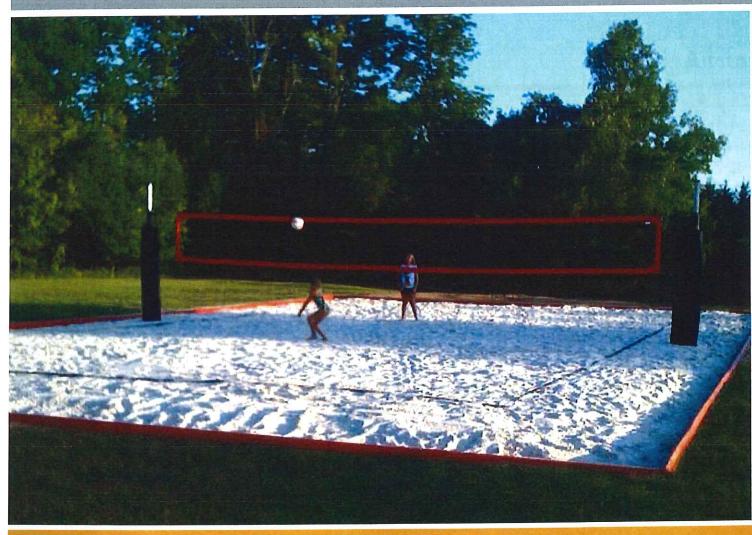
Site Rendering - Full Development





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Alternate - Playscape









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Alternate - Volleyball Court and Site Amenities

Base Project:		Alternates:	
Demolition and Site Preparation:	\$ 450,000	Picnic Pavilion:	\$ 40,000
New Buildings and Structures:	\$ 1,500,000	Playground:	\$ 125,000 - 500,000
Aquatic Facilities:	\$ 1,800,000	Sand Volleyball:	\$ 15,000
Site Work:	\$ 700,000	Expanded Splash Pad;	\$ 125,000
Contingency:	\$ 450,000	Repave Parking Lot:	\$ 200,000
Total Base Project Construction:	\$ 4,900,000		
Soft Costs:	\$ 750,000		
Total Project Cost:	\$ 5,650,000		

Budget

Programmatic Benefits:

- Reduced Footprint is Safer and Easier to Guard
- Traditional Pool Construction and Systems allows for a Fully Code Compliant and Health Department Approved Facility
- Multi-Purpose Pool Provides a Variety of Activities for All Ages
- Lawns Create Welcoming Environment for Longer Pool Stays
- Splash Pad Gives non-Swimmers a Viable Aquatic Program
- Park Layout Provides Other non-Aquatic Park Programs and Amenities
- Organized Bus Drop-off on Street Provides Safer Access for Campers
- Expanded Parking Reduces Impact on Neighboring Streets

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Environmental Benefits:

- Volume of Water Use Reduced Significantly in Pool
- Water Control Systems for Splash Pad Control Volume of Water Used for Features
- Chemical Use Reduced
- Significant Reduction in Impervious Area
- Comprehensive Stormwater Management Plan Provides Cleaner Discharge to Wetlands
- New Gabion Wall Addresses Erosion at the Edge of the Parking Lot
- New Systems and Lighting are More Efficient than Existing
- Materials, Assemblies and Systems are Selected for Low Maintenance and High Life-Cycle
- Natural Daylight at Bathhouse Reduces Energy Use

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Economic Benefits:

- Reduced Water Consumption Costs
- Reduced Chemical Costs
- Efficient Systems and Lighting Reduce Energy Consumption
- Low Maintenance and High Life-Cycle Materials and Assemblies Reduce O & M Costs
- Reduced Footprint and Pool Perimeter Reduces Lifeguard Costs
- Splash Pad Provides Opportunity for Increased Revenues in the Shoulder Seasons
- Pool Amenities Attract a Larger Usership, Increasing Revenues

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Financial Analysis:

REVENUE:				
	2019	2021 (same sales as 2019)	2021 (10% Increase)	2021 (20% Increase)
Sale of Pool Tags (\$35):	\$ 60,350			
At \$40/tag	,,	\$ 106,920	\$ 117,612	\$ 127,920
At \$45/tag		118,935	132,300	144,315
At \$50/tag		133,650	147,000	160,400
Birthday Parties:	880	880	968	986
Pool Rental:	400	400	440	480
Day Passes (at \$8)	0	6,400	6,400	6,400
Food Truck Contract	0	2,500	2,500	2,500
	\$ 61,630			
At \$40		\$ 117,100	\$ 127,920	\$ 138,286
At \$45		\$ 129,115	\$ 142,608	\$ 154,681
At \$50		\$ 143,830	\$ 157,308	\$ 170,766

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Financial Analysis:

EXPENSES:			P & L SUMMARY		
	2019	2021	2019 Season:	(\$	107,720)
Lifeguards:	\$ 85,813	\$ 85,813	At \$40 Tag (No Increase in Sales):	(\$	28,513)
PW Staff:	2,782	3,000	At \$40 Tag (10%Increase in Sales):	(\$	16,498)
Custodial Services:	1,550	1,550	At \$40 Tag (20% Increase in Sales):	(\$	9,649)
Chemicals: Water and Sewer:	24,812 15,662	15,000 10,000	At \$45 Tag (No Increase in Sales):	(\$	17,693)
Electric:	10,858	8,000	At \$45 Tag (10%Increase in Sales):	(\$	3,005)
Contract Maintenance:	22,250	22,250	At \$45 Tag (20% Increase in Sales):	(\$	11,695)
Paint:	5,623	0	At \$50 Tag (No Increase in Sales):	(\$	7,327)
	\$ 169,350	\$ 145,395	At \$50 Tag (10%Increase in Sales):	(\$	9,068)
			At \$50 Tag (20% Increase in Sales):	(\$	25,153)

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Project Schedule:

Presentation to Town Council:

Schematic Design Complete:

Wetland and P&Z Approvals:

Final Construction Documents:

Bidding and Contracting:

Construction Period:

Pool Opening:

September 2019

September 2019

Fall 2019

02-28-20

Spring 2020

06-20 through 06-21

Summer Season 2021

Notes:

- 1. Pool will be closed for the 2020 Swim Season
- 2. Cost Estimates are based on this schedule. Delays will result in additional cost of an anticipated 4% per year.

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Schedule

Wallingford Community Pool

TLB Architecture

www.tlbarchitecture.com

TABULATION

TOWN 0	F WALLINGFORD	1		2		3	
PUBLIC BID 19-062 New Community Pool Park		BANTON CONSTRUCTION COMPANY		LAROSA BUILDING GROUP, LLC		GIORDANO CONSTRUCTION CO., INC.	
Parks and	d Recreation						
Bid Open	ing: April 2, 2020, 2:15 P.M., PLT	North Haven, CT		Meriden, CT		Branford, CT	
Item#	Description	Pricing		Pricing		Pricing	
1	Provide products/services specified in, inclusive amounts, and upon the terms and conditions of the solicitation	\$ 5,756,332.00	\$	6,000,000.00	\$	6,977,048.00	
ALTERNA	ATE BID ITEMS						
1	Picnic Pavilion	\$ 119,608.00	\$	154,000.00	\$	149,553.00	
2	Playground	\$ 187,820.00	\$	174,000.00	\$	201,220.00	
3	Larger Waterslide	\$ 36,960.00	\$	42,000.00	\$	37,250.00	
4	Sand Volleyball Court	\$ 80,921.00	\$	63,000.00	\$	68,200.00	
GRAND T	TOTAL	\$ 6,181,641.00	\$	6,433,000.00	\$	7,433,271.00	
UNIT PR	ICED ITEMS						
1	Removal of unsatisfactory soil and replacement soil, per cubic yard	\$ 90.00	\$	82.00	\$	185.00	
2	Rock Excavation and replacement with satisfactory soil, per cubic yard	\$ 180.00	\$	350.00	\$	275.00	
	Terms	None Stated		Net 30		None Stated	
Exceptions		None	None be paid for by		rock excavation shall paid for by the unit price		



TOWN OF WALLINGFORD

Department of Finance Bureau of Purchases 45 South Main Street Wallingford, Connecticut 06492 Telephone (203) 294-2115 (203) 294-2119

Fax

DATE OF BID OF	PENING I TIME OF BI	D OF ENING	INSURANCE REQUIREMENTS			
19-062 April 2, 2020	1 2:45 D M	I., Prevailing Local Time	Liability See General Terr	ns & Conditions		
BID ITEM						
New Community Pool	Park					
PRE-BID MEETING: LOCATI	NC		PRE-BID: DATE	PRE-BID: TIME		
Community Pool – 73	9 N Main Street Ext	tension, Wallingford, CT	March 12, 2020	10:00 A.M.		
AMOUNT OF BID SURETY	PERFORMANCE BOND		CONTRACT PERIOD OR DATE DE	LIVERY REQUIRED		
5% See General Terms & Conditions	100% See Genera	al Terms & Conditions	From Date of Award Thru	6/30/21		
CONTACT PERSON		PHONE	DEPT.			
Ken Michaels, Directo	r	(203) 294-2120	Recreation Dept.			
INVITATION TO BID Sealed proposals will be received by the Purchasing Agent for the Town of Wallingford, in Room 206, Town Hall, 45 South Main Street, Wallingford, Connecticut, 06492, for furnishing construction services herein listed to town agencies.						
		AFFIRMATION OF BIDE	DER			
The undersigned bidder affirms and declares:						
That this contract proposal is executed and signed by said bidder with full knowledge and acceptance of the provisions of the General terms & Conditions, Bid Specifications and Bid Proposal which are made a part of the contract.						
2) That should any part of this proposal be accepted in writing by the Purchasing Agent within sixty (60) calendar days from the date of bid opening, said bidder will furnish and deliver the commodities and/or services for which this proposal is made, in the quantities and at the prices bid, and in compliance with the Specifications.						

☐ Cashiers Check ☐ Certified Check Enclosed in the amount of \$ 5% of bid PROPOSAL: The undersigned, accepting the conditions set forth herein, hereby agrees in strict accordance therewith, to

furnish and deliver the commodities and/or services to the town agency or agencies named at the prices bid herein.

That this proposal is covered by surety in the following form as checked. (See Amount of Bid Surety above)

Type of Business	☐ Proprietorship	☐ Partnership	_©	Sub Chapter S Corp		Corporation
Company Name			Doing Busin	ess As (Trade Name)		
Banton Con	Struction Con	1 pan v				
Business Address		/ /	City		State	Zip Code
339 Washin	gton Avenue		North	Haven	CT	06473
Signature of Person-Authorized to Sign This Bid			Title		Date	
L Z	e/w		Presid	dent	Apr	1/2,2020
Print Name of Signer			Phone		Fax	
Frank Pulla						-234-0010
Further information and references on any individual or company placing a bid may be required by the Town of Wallingford prior to the awarding of a bid.						

BID PROPOSAL

The undersigned Banton Construction Company, doing business in the City/Town of North Haven	
<u>Connecticut</u> , submits herewith, in conformity with the general terms and conditions and	
specifications for the above mentioned public bid, the following proposal for: New Community Pool Park	

BASE BID

Description	Lump Sum Price			
	\$5,756,332.00			
Written Figures: Seven million, Seven hundred fifty six thousand, three hundred				

	seven million, seven hundred fifty six thousand,	three hundred
DD ALTEDNIA	thirty two dollars'	
Item #	TE BID ITEMS: / Description	7.1.15
ileni #	Description	Total Price
	Picnic Pavilion	\$ 119,608.00
1	Written Figures: One hundred nineteen thousand, six hu	indred eight dollars
	Mfg./Model: Cedar Forest Products / OTT 2428	
	Playground	\$ 187,820.00
2	Written Figures: One hundred eighty seven thousand, eight hund	red twenty dollar
	Mfg./Model: Kompan/ Vanous models as specific	, , , , , , , , , , , , , , , , , , , ,
	Larger Waterslide	\$ 36,960.00
3	Written Figures: thirty six thousand, nine hundred sixty dolla	
·····	Mfg./Model: Splash Facular / AS Specified	
	Sand Volleyball Court	\$ 80,921.00
4	Written Figures: eighty fhousand, nine hundred huenty one d	'ollars
	Mig./Model National Equipment / Jay Pro As Specia	Sed

UNIT PRICE BID ITEMS:

Item#	Description	Unit Price		
	Removal of unsatisfactory soil and replacement soil – per cubic yard	\$ 90.00		
1				
_	Written Figures: ninely dollars and Zero Cents Rock Excavation and replacement with satisfactory soil – per cubic yard	\$ 180.00		
2	Written Figures: one hundred eighty dollars and zero cents			

TERMS:	Cash Discount N/A %	Days	Net Cas	h	Days
Company Name		Date		I m	
			·····	Phone	
Banton	Construction Company	April 2, 20	20	1203-21	34 - 2353
Address		City		State	Zip Code
339 Wa	shington Avenue	North Haver)	CT	06473
Name (Print)	J	Title			
Frank	Pullano	President			
Sign	Stell /	Receipt Of Addenda	Is Acknow	ledged (If A	Applicable):
		No. / Date 3/2			
-	· · · · · · · · · · · · · · · · · · ·				- 1-21001- 02

No. 3 Date 3/27/20 No. 4 Date 3/27/20 Page 3 No. 5 Date 3/31/20

EXCEPTIONS

The undersigned bidder proposes the following exceptions for New Community Pool Park.

Exceptions will be considered to the product or service specifications only. All other conditions or agreements submitted in response to the bid, unless specifically requested, will be rejected.

Any and all price changes related to these exceptions are specifically stated herewith.

Exception

Price Change (Show + or -)

Frank Pullano	203-234-2353
Name (Print)	Phone
	April 2nd, 2020
Sign	Date
Dresident	
Title	

Document A310[™] – 2010

Conforms with The American Institute of Architects AIA Document 310

Bond Number: 64082-TRA-20-07

Bid Bond

CONTRACTOR:

(Name, legal status and address)
Banton Construction Company
339 Washington Avenue
North Haven, CT 06473

OWNER:

(Name, legal status and address)
Town of Wallingford
45 South Main Street
Wallingford, CT 06492

SURETY:

(Name, legal status and principal place of business)
Travelers Casualty and Surety Company of America
One Tower Square
Hartford, CT 06183-6014

State of Inc: Connecticut

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

Any singular reference to Contractor, Surety, Owner or other party shall be considered plural where applicable.

BOND AMOUNT: Five Percent of Amount Bid (5%)

PROJECT:

(Name, location or address, and Project number, if any)

Wallingford Community Pool Park - 739 North Main St., Wallingford, CT 06492

The Contractor and Surety are bound to the Owner in the amount set forth above, for the payment of which the Contractor and Surety bind themselves, their heirs, executors, administrators, successors and assigns, jointly and severally, as provided herein. The conditions of this Bond are such that if the Owner accepts the bid of the Contractor within the time specified in the bid documents, or within such time period as may be agreed to by the Owner and Contractor, and the Contractor either (1) enters into a contract with the Owner in accordance with the terms of such bid, and gives such bond or bonds as may be specified in the bidding or Contract Documents, with a surety admitted in the jurisdiction of the Project and otherwise acceptable to the Owner, for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof; or (2) pays to the Owner the difference, not to exceed the amount of this Bond, between the amount specified in said bid and such larger amount for which the Owner may in good faith contract with another party to perform the work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect. The Surety hereby waives any notice of an agreement between the Owner and Contractor to extend the time in which the Owner may accept the bid. Waiver of notice by the Surety shall not apply to any extension exceeding sixty (60) days in the aggregate beyond the time for acceptance of bids specified in the bid documents, and the Owner and Contractor shall obtain the Surety's consent for an extension beyond sixty (60) days.

If this Bond is issued in connection with a subcontractor's bid to a Contractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.

When this Bond has been furnished to comply with a statutory or other legal requirement in the location of the Project any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. When so, furnished, the intent is that this Bond shall be construed as a statutory bond and not as a common law bond.

Signed and sealed this 2nd day of April , 2020

(Witness) (Principal)

(Title) Fra

itle) Frank Puttano, President Iravelers Casualty and Surety Company of America

Banton Construction Company

John B. O'Keefe, Attorney in Fact

RITTOR COSILL

(Seal)



City of Hartford ss.

Travelers Casualty and Surety Company of America Travelers Casualty and Surety Company St. Paul Fire and Marine Insurance Company

POWER OF ATTORNEY

KNOW ALL MEN BY THESE PRESENTS: That Travelers Casualty and Surety Company of Ameri Paul Fire and Marine Insurance Company are corporations duly organized under the laws of the "Companies"), and that the Companies do hereby make, constitute and appoint Joh of Hartford Connecticut, their true and lawful Attorney-in-Fact bonds, recognizances, conditional undertakings and other writings obligatory in the nature thereof or guaranteeing the fidelity of persons, guaranteeing the performance of contracts and executing or guin any actions or proceedings allowed by law.	e State of Connecticut (herein collection B. O'Keefe , to sign, execute, seal and acknowled	ctively called the
IN WITNESS WHEREOF, the Companies have caused this instrument to be signed, and their corporate 2017.	e seals to be hereto affixed, this 3rd da	ay of February ,
State of Connecticut		

On this the **3rd** day of **February**, **2017**, before me personally appeared **Robert L**. **Raney**, who acknowledged himself to be the Senior Vice President of Travelers Casualty and Surety Company, and St. Paul Fire and Marine Insurance Company, and that he, as such, being authorized so to do, executed the foregoing instrument for the purposes therein contained by signing on behalf of the corporations by himself as a duly authorized officer.

in Witness Whereof, I hereunto set my hand and official seal.

My Commission expires the 30th day of June, 2021

SC. TETRE SO TANDA * CONNECTED

Marie C. Tetreault, Notary Public

Robert L. Raney, Senior Vice President

This Power of Attorney is granted under and by the authority of the following resolutions adopted by the Boards of Directors of Travelers Casualty and Surety Company, and St. Paul Fire and Marine Insurance Company, which resolutions are now in full force and effect, reading as follows:

RESOLVED, that the Chairman, the President, any Vice Chairman, any Executive Vice President, any Senior Vice President, any Vice President, any Second Vice President, the Treasurer, any Assistant Treasurer, the Corporate Secretary or any Assistant Secretary may appoint Attorneys-in-Fact and Agents to act for and on behalf of the Company and may give such appointee such authority as his or her certificate of authority may prescribe to sign with the Company's name and seal with the Company's seal bonds, recognizances, contracts of indemnity, and other writings obligatory in the nature of a bond, recognizance, or conditional undertaking, and any of said officers or the Board of Directors at any time may remove any such appointee and revoke the power given him or her; and it is

FURTHER RESOLVED, that the Chairman, the President, any Vice Chairman, any Executive Vice President, any Senior Vice President or any Vice President may delegate all or any part of the foregoing authority to one or more officers or employees of this Company, provided that each such delegation is in writing and a copy thereof is filed in the office of the Secretary; and it is

FURTHER RESOLVED, that any bond, recognizance, contract of indemnity, or writing obligatory in the nature of a bond, recognizance, or conditional undertaking shall be valid and binding upon the Company when (a) signed by the President, any Vice Chairman, any Executive Vice President, any Senior Vice President, any Second Vice President, the Treasurer, any Assistant Treasurer, the Corporate Secretary or any Assistant Secretary and duly attested and sealed with the Company's seal by a Secretary or Assistant Secretary; or (b) duly executed (under seal, if required) by one or more Attorneys-in-Fact and Agents pursuant to the power prescribed in his or her certificate or their certificates of authority or by one or more Company officers pursuant to a written delegation of authority; and it is

FURTHER RESOLVED, that the signature of each of the following officers: President, any Executive Vice President, any Senior Vice President, any Vice President, any Assistant Vice President, any Secretary, and the seal of the Company may be affixed by facsimile to any Power of Attorney or to any certificate relating thereto appointing Resident Vice Presidents, Resident Assistant Secretaries or Attorneys-in-Fact for purposes only of executing and attesting bonds and undertakings and other writings obligatory in the nature thereof, and any such Power of Attorney or certificate bearing such facsimile signature or facsimile seal shall be valid and binding upon the Company and any such power so executed and certified by such facsimile signature and facsimile seal shall be valid and binding on the Company in the future with respect to any bond or understanding to which it is attached.

I, Kevin E. Hughes, the undersigned, Assistant Secretary of Travelers Casualty and Surety Company of America, Travelers Casualty and Surety Company, and St. Paul Fire and Marine Insurance Company, do hereby certify that the above and foregoing is a true and correct copy of the Power of Attorney executed by said Companies, which remains in full force and effect.

Dated this 2nd day of April 2020 SURE HARTFORD CONN.

Kevin E. Hughes, Assistant Secretary

To verify the authenticity of this Power of Attorney, please call us at 1-800-421-3880. Please refer to the above-named Attorney-in-Fact and the details of the bond to which the power is attached.

AIA Document A305™ - 1986

Contractor's Qualification Statement

The Undersigned certifies under oath that the information provided herein is true and sufficiently complete so as not to

be misleading.	sufficiently complete so as not to
SUBMITTED TO: Town of Wallingford	et in datum end dentation dependent on de stellen, ender en une mende et despe
ADDRESS: 45 South Main Street, Wallingford, CT 06492	ADDITIONS AND DELETIONS: The author of this document has added information needed for
SUBMITTED BY: « » Banton Construction Company	its completion. The author may also have revised the
Le Dullana Dasidest	text of the original AIA standard form. An Additions and Deletions Report that
ADDRESS: «» 339 Washington Ave North Haven CT	notes added information as well as revisions to the standard form text is
PRINCIPAL OFFICE: «»	available from the author and should be reviewed.
(V) Corporation	This document has important legal consequences.
(»] Partnership	Consultation with an attorney is encouraged with
(»] Individual	respect to its completion or modification.
()] Joint Venture	This form is approved and recommended by the American
() Other ()	Institute of Architects (AIA) and The Associated
NAME OF PROJECT: (if applicable) «» New Community Pool Par	General Contractors of America (AGC) for use in evaluating the
TYPE OF WORK: (file separate form for each Classification of Work)	qualifications of contractors. No endorsement of the submitting party or
General Construction	verification of the information is made by AIA or
[« »] Site Work	AGC .
Concrete	
[« »] Swimming Pool	summabor russ
[«»] Electrical	And the second s
[« »] Plumbing	
§ 1 ORGANIZATION § 1.1 How many years has your organization been in business as a Contractor? « »	40
§ 1.2 How many years has your organization been in business under its present busin	ess
name? « » 40	ELECTRONIC COPYING of any portion of this AIA® Document
§ 1.2.1 Under what other or former names has your organization operated?	another electronic file is

(())

§ 1.3 If your organization is a corporation, answer the following:

prohibited and constitutes a violation of copyright laws as set forth in the footer of this document.

§ 1.3.1 Date of incorporation: « » March 1985

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Tree Notes:

§ 1.3.2 State of incorporation: « » CT § 1.3.3 President's name: « » Frank Pullano § 1.3.4 Vice-president's name(s)
《》
§ 1.3.5 Secretary's name: « » Frank Pullano § 1.3.6 Treasurer's name: « » NA
§ 1.4 If your organization is a partnership, answer the following: § 1.4.1 Date of organization: § 1.4.2 Type of partnership (if applicable): § 1.4.3 Name(s) of general partner(s)
《》
§ 1.5 If your organization is individually owned, answer the following: NA § 1.5.1 Date of organization: «» § 1.5.2 Name of owner:
«»
§ 1.6 If the form of your organization is other than those listed above, describe it and name the principals:
§ 2 LICENSING § 2.1 List jurisdictions and trade categories in which your organization is legally qualified to do business, and indicate registration or license numbers, if applicable.
«» See attached
§ 2.2 List jurisdictions in which your organization's partnership or trade name is filed.
«» State of Connecticut
§ 3 EXPERIENCE § 3.1 List the categories of work that your organization normally performs with its own forces.
"» Selective Site work, concrete, carpentry, electrical
§ 3.2 Claims and Suits. (If the answer to any of the questions below is yes, please attach details.) § 3.2.1 Has your organization ever failed to complete any work awarded to it?
«» NO
§ 3.2.2 Are there any judgments, claims, arbitration proceedings or suits pending or outstanding against your organization or its officers?
«» attached
§ 3.2.3 Has your organization filed any law suits or requested arbitration with regard to construction contracts within the last five years?
«» NO
§ 3.3 Within the last five years, has any officer or principal of your organization ever been an officer or principal of

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another organization when it failed to complete a construction contract? (If the answer is yes, please attach details.)

STATE OF CONNECTICUT DEPARTMENT OF CONSUMER PROTECTION

This is your registration certificate for your records. Such registration shall be shown to any properly interested person on request. Do not attempt to make any changes or alter this certificate in any way. This registration is not transferable. Questions regarding this registration can be emailed to the Occupational & Professional Licensing Division at dep.occupationalprofessional@ct.gov.

In an effort to be more efficient and Go Green, the department asks that you keep your email information with our office current to receive correspondence. You can update your email address or print a duplicate certificate by logging into your account with your User Id and Password at www.elicense.ct.gov.

Mailing address:

BANTON CONSTRUCTION COMPANY INC 339 WASHINGTON AVE NORTH HAVEN, CT 06473-1305 Email on file to be used for receiving all notices from this office:

mbronn@bantonconstruction.com

STATE OF CONNECTICUT * DEPARTMENT OF CONSUMER PROTECTION Be it known that

BANTON CONSTRUCTION COMPANY INC

339 WASHINGTON AVE NORTH HAVEN, CT. 06473-1305

has satisfied the qualifications required by law and is hereby registered as a

MAJOR CONTRACTOR

Registration #: MCO.0900304

Effective Date: 07/01/2019

Expiration Date: 06/30/2020

verify online at www.elicense.ct.gov

Mihlle Lyell

Michelle Seagull, Commissioner

STATE OF CONNECTICUT * DEPARTMENT OF CONSUMER PROTECTION Be it known that

NICHOLAS M PULLANO 1810 HARTFORD TPKE NORTH HAVEN, CT 06473-1248

has been certified by the Department of Consumer Protection as a licensed

ELECTRICAL UNLIMITED CONTRACTOR

License # ELC.0200162-E1

Effective: 10/01/2019

Expiration: 09/30/2020

Michelle Seagull, Commissioner



339 Washington Avenue • North Haven, CT 06473 203 234 2353 • Fax: 203.234.0010 • www.bantonconstruction.com

The following statement represents full disclosure of all litigation or arbitrary proceedings involving Banton Construction:

McCarthy Concrete v. Banton Construction Company
Dispute between parties regarding a price increase. Price discrepancy is due to the delay of an ongoing project located in Rensselaer NY, outside of BCC's control. The case is ongoing.

§ 3.4 On a separate sheet, list major construction projects your organization has in progress, giving the name of project, owner, architect, contract amount, percent complete and scheduled completion date.
«» Allached
§ 3.4.1 State total worth of work in progress and under contract:
«» Altached
§ 3.5 On a separate sheet, list the major projects your organization has completed in the past five years, giving the name of project, owner, architect, contract amount, date of completion and percentage of the cost of the work performed with your own forces.
«» Attached
§ 3.5.1 State average annual amount of construction work performed during the past five years:
«» \$ 25,000,000.00
§ 3.6 On a separate sheet, list the construction experience and present commitments of the key individuals of your organization.
«» AHached
§ 4 REFERENCES § 4.1 Trade References:
«» Attached
§ 4.2 Bank References:
«» AHachid
§ 4.3 Surety: § 4.3.1 Name of bonding company:
«» Marsh USA Inc.
§ 4.3.2 Name and address of agent:
«» John O'Kecfe 20 Church St. Hartford, Ct
§ 5 FINANCING § 5.1 Financial Statement. § 5.1.1 Attach a financial statement, preferably audited, including your organization's latest balance sheet and income statement showing the following items: Will Provide Upon Reguest
Current Assets (e.g., cash, joint venture accounts, accounts receivable, notes receivable, accrued income, deposits, materials inventory and prepaid expenses);

Net Fixed Assets;

Other Assets;



Project Name and Location	Prime (P) or Sub (S)	Project Description	Estimated Start Date	Estimated End Date	Amount of Contract	Amount of Work	Percent Complete
Metro-North Railroad - New Haven Signal Line Installation CP 229 -CP 241	S	Signal Installation	Jun-17	Sep-20	\$ 7,367,434.00	\$ 2,426,314.87	67.07%
Residence Inn - Hamden, CT	d	New Build Hotel	Sep-17	Dec-20	\$17,287,239.00	\$ 8,374,459.00	51.56%
Metro-North Railroad - Hudson Line Express Cable Installation	Ь	Cable/Signal Installation along the Hudson Line, NY	Dec-17	Feb-21	\$51,919,541.00	\$ 32,190,643.00	38.00%
North Stonington Schools Modernization	S	Electrical Upgrades	Dec-18	Jun-20	\$ 3,222,251.00	\$ 71,827.00	97.77%
Old Saybrook Station - Façade Renovation and HVAC Upgrades	Ь	Station Renovations	Sep-18	91-voN	\$ 2,633,902.00	\$ 476,146.00	81.92%
Shepaug Valley High/Middle School - Washington, CT	S	Electrical Upgrades	Dec-18	Dec-19	\$ 2,442,383.00	\$ 45,525.08	98.14%
Insurance Restoration Division (2019)	Ь	Restoration Projects 2019	May-19	Jun-20	\$ 855,111.00	\$ 47,526.00	94.44%
City of Ansonia Police Department	ď	Renovations and Additions	Oct-19	Jan-21	\$11,599,000.00	\$ 8,571,138.00	26.10%
CREC Ana Grace Academy - Bloomfield, CT	S	Electrical Trade Package - New Construction	Oct-19	Mar-21	\$ 4,269,000.00	\$ 4,017,021.00	5.90%
Community Solutions Swift Factory Tenant Fitout - Hartford, CT	Ь	Interior Fit Out	Nov-19	Jun-20	\$ 4,725,070.00	\$ 3,692,363.00	21.86%
Page Park Pool Renovation - Bristol, CT	Ь	Pool Renovations	Sep-19	May-20	\$ 3,399,117.00	\$ 1,637,491.00	51.83%
Eddy Home Electrical Upgrades - Middletown, CT	P	Electrical Upgrades	TBA	TBA	\$ 181,500.00	\$ 162,525.00	0.00%
Westport Town Hall UPS Replacement - Westport, CT	d	Electrical Package	TBA	TBA	\$ 129,950.00	\$ 129,950.00	0.00%
Bethel Johnson Elementary School	S	AV System Install A	Feb-20	Aug-20	\$ 130,000.00	\$ 90,260.00	30.57%
Bethel Johnson Elementary School	S	AV System Install B	Feb-20	Aug-20	\$ 87,110.00	\$ 57,160.00	34.38%
Crawford Fire Alarm Upgrades (HANH)	Ь	Fire Safety Upgrades in Multifamily Apt. Building	May-20	Nov-20	\$ 1,466,514	\$ 1,466,514.00	%00.0
Insurance Restoration Division (2020)	Ь	Restoration Projects 2020	Jun-20	Jun-21	\$ 525,000.00	\$ 503,935.00	4.01%
				TOTALS	\$ 110,248,608	\$ 61,990,348.95	

RECENTLY COMPLETED WORK



2,328,078.00 6,653,179.00 Contract Amount \$5,586,217 \$1,600,000 \$2,983,164 \$7,789,777 \$309,432 \$1,465,437 \$772,940 \$ ş Nov-19 Sep-19 Aug-19 Apr-19 Jul-19 Jun-19 Feb-19 Dec-18 Approx. Jan-19 End May-19 Approx. 17-Nov Oct-17 Jan-18 Nov-17 Apr-17 Jun-17 Start Dec-18 Jul-17 New Facility for DOT, renovation of Interior Renovation - New Advising Installation of New Fire Alarm and Electrical Upgrades to 2 buildings Maintenance Facility Renovation Aquatic Park Renovations and ADA Compliance Renovations Restoration Projects 2018 Fire Alarm Replacement Project Description Sprinkler Systems Improvements Commons existing Prime (P) or Sub (S) ۵ ۵ ۵ ۵ ط ط S ۵ ۵ DOT District 1A Mike Mendac (203) 752-0113 Randy Becker - PDS Engineering PM Christoph Gerhard (267) 761-1039 Elizabeth Bender (203) 582-6569 Sarah Tierny Project Manager Erik Barbieri (860) 826-3453 Paul Guidice (860) 692-6959 **Owners Representative** and Contact Number Б Quinnipiac University DAS/PDS Engineering City of New Britain Owner/Designer Various Owners AMTRAK CT DCS DAS 5 DOT Veteran's Home Fire Alarm and AW Stanley Aquatic Park - New Insurance Restoration Division Communications Renovations AMTRAK Windsor Station ADA Plainfield Signs and Markings QU CAS Advising Commons **Project Name and Location** Southington Maintenance Sprinkler - Rocky Hill, CT Facility Renovation ECSU Goddard Hall Osborn Prison Improvements Phase 1 and 2 Britain (2018)Facility

Bridgeport Train Station Improvements	TOO	DOT District 1A Mike Mendac (203) 752-0113	۵	Renovation of Bridgeport Train Station	Feb-15	Aug-18	\$8,338,164
GHTD - Paratransit Operations and Maintenance Facility, East Harford CT	GHTD/Gregg Wies and Gardner Architects	Mary Deppe	Ь	Construction of 2 buildings	Jan-16	Jun-18	\$16,400,000
Church of Our Saviour - Rye NY	Lothrop Associates	John Anastasiou (860) 249-7251	S	Renovations	Dec-16	Dec-17	\$5,220,000
AMTRAK Rensselaer	Amtrak	Craig Weed (212) 512-6711	S	Construction of train platforms	Nov-14	Dec-17	\$4,000,000
Newtown High School	Town of Newtown	Al Howat PM (860) 202 0966	S	Auditorium Renovations	Mar-17	Dec-17	\$347,500
Miller Driscoll School Electrical	Turner Construction/BVH Integrated Services	Michael Douyard (203) 712-6070	S	Electrical trade package	Jan-16	Jun-17	\$3,885,123
DOT Facilities Generator Installation	рот	DOT District 1.A Mike Mendac (203) 752-0113	d.	Facilities Generator Installation in Various Towns	Oct-16	Apr-17	\$1,530,000
Penfield Pavillion	Shawmut	Marc Vincent 617-735-0301	S	Electrical and carpentry trade packages	Mar-16	Apr-17	\$2,148,525
Animal Haven, North Haven CT	Animal Haven	Jim Bonito - Board of Directors / PM - (203) 234-8786	Д	Electrical Upgrades	17-0ct	Mar-17	\$60,224
Coca Cola Plant - East Hartford	Cutler	Jeff Sperlbaum	S	Site work	Nov-16	Jan-17	\$132,000

DOH- 30 Westland Ave, Milford CT	Quisenberry Arcari	Andrew Tarpill (860) 677-4595	۵	Rehabilitation/reconstruction for residences damaged by Superstorm Sandy	Jul-15	Dec-16	\$269,500
DOH - 45 Second Ave, East Haven CT	Lothrop Associates	Aurthur Seder (860) 249-7251	d.	Rehabilitation/reconstruction for residences damaged by Superstorm Sandy	Dec-15	Sep-16	\$397,340
DOH - 710 Rowland Road, Fairfield CT	Quisenberry Arcari	Andrew Tarpill (860) 677-4594	۵	Rehabilitation/reconstruction for residences damaged by Superstorm Sandy	Dec-15	Sep-16	\$368,983
DOH - 19 Palmetto Trail, East Haven CT	Lothrop Associates	Aurthur Secler (860) 249-7251	ď	Rehabilitation/reconstruction for residences damaged by Superstorm Sandy	0ct-15	Sep-16	\$407,314
Insurance Resoration Division (2015) Various Towns, CT	Various Owners	Various Owners Representatives	Ъ	Restoration Projects 2015	Various start dates	Aug-16	\$1,420,000
DOH - 50 Vista Drive, East Haven CT	Lothrop Associates	Aurthur Secler (860) 249-7251	Ь	Rehabilitation/reconstruction for residences damaged by Superstorm Sandy	Oct-15	Aug-16	\$337,847
DOH - 15 Sibley Lane, East Haven CT	Lothrop Associates	Aurthur Secler (860) 249-7251	Ь	Rehabilitation/reconstruction for residences damaged by Superstorm Sandy	Dec-15	Aug-16	\$241,783
DOH - 41 Hillside Ave, Milford CT	Amaya Architects	Michael Sileo (203) 795-5656	d.	Rehabilitation/reconstruction for residences damaged by Superstorm Sandy	Feb-16	Aug-16	\$621,097
DOH - 730 Stratford Ave, Stratford CT	MCA , LLC (503) 226- 0622	Ryan Curley (860) 436-4364	Ь	Rehabilitation/reconstruction for residences damaged by Superstorm Sandy	Jul-15	Jun-16	\$319,117
Railroad Bridges - Various Towns Fairfield County, CT	рот	DOT District 1A Mike Mendick (203) 752-0113	S	Remove 5 railraod bridges, replace 4 railroad bridges	Aug-07	Jun-16	\$40,500,000

Captain Joseph W Spencer House	Lothrop Associates	Aurthur Secler (860) 249-7251	۵	Phase 1 building renovations	Jun-15	May-16	\$495,000
HANH Vacancy	Housing Authority of New Haven/O'Riodan Migani Architects, LLC	Joe Migani (203) 888-7667	Ь	Renovation of 10 apartment units	Mar-14	Feb-15	\$1,080,183
ACES Little Theater, New Haven CT	ACES Educational Center/Svigals and Partners LLC	Julia McFadden (203)-785-5110	Ь	8,000 sqft renovation and 3,000 sqft addition of theater	Nov-11	Dec-14	\$5,123,600
Wayside Track	Ducci Electrical Contractors Inc.	Steve Baldyga (203) 529-3162	S	Civil support 90 miles along rails	Mar-13	Oct-14	\$7,245,885
Municipal Stadium, Waterbury Waterbury Development CT Corporation/SLAM	Waterbury Development Corporation/SLAM	Todd Montello (203)-228-3441	Ь	Renovations of existing stadium	Sep-12	Jul-14	\$1,968,892
Ella Grasso	СТ БОТ	Lucien Dragrulski (860)-670-1568	Ь	HVAC, electrical, plumbing, improvements	Apr-12	Sep-13	\$4,944,671
Westbrook Train Station, Westbrook CT	CT DOT/Baker Engineering	Paul Andruskiewicz (860)-823-3100	Ь	Construction of train station	Nov-11	Apr-13	\$9,553,763
Forestville School, Bristol CT	Town of Waterbury/Kaestle Boos Assoc.	O&G Industry Larry Schilling (860)-489-9261	Ь	Electrical trade package	Jun-11	Dec-12	\$3,458,000
Fairfield Metro Train Station	СТ БОТ	The Middlesex Company John Cavatorta (860) 222-9662	S	Construct platforms, canopies, elevators, overhead bridge, site improvements	Jun-08	Oct-12	\$15,473,197
Bridgeport Bus Station	City of Bridgeport	Steve Tyliszczak (203) 576-7221	ط	New bus station with 2,555 foot elevated covered walkway to train station and parking garage	Feb-11	Sep-12	\$22,853,259

	2				
\$4,080,351	\$28,441,602	\$4,634,883	\$6,453,779	\$3,733,325	\$17,234,413
Apr-12	Apr-12	Nov-11	Sep-11	Sep-11	Aug-11
Dec-09	Feb-10	Jul-10	Aug-08	May-11	Jun-08
Various station improvements to 42 State Stations	Incinerator #3 upgrades and heat recovery system installations	Civil work for communication line from Norwalk to Danbury	Electrical	Demolition and removal of 80 bathrooms	Renovation and expansion
ď	۵	S	S	Ъ	Ь
CT DOT Mark Strong (203)-227-7754	MDC Frank Dellarippa (860)-209-1308	John Dellano (860)-489-9267	0&G Industry Larry Schilling (860)-489-9261	Jeff Wyzinski (860)-548-0802	Charles Mueller (860) 767-0175
ст рот	The Metropolitan District Commission/Black and Veatch Corporation	CT DOT/Ducci Electric	O&G Industries c/o Town of Waterbury/Kaestle Boos Assoc.	UCONN/Tecton Architects	Quinnipiac University
Various Railroad Station Improvements Various Towns, CT	MDC Incinerator, Hartford CT	Danbury Signalization, Fairfield County CT	Watertown High School, Watertown CT	UCONN CT Commons, Storrs CT	Quinnipiac Dining Hall - Hamden CT

Executive Management Team

FRANK PULLANO
Founder & President

Frank founded Banton in 1979 and has steadily advanced the firm to its present position as a leading and trusted provider of superior general contracting services.

Throughout the past forty years, Frank has successfully led the organization through a range of varying economic periods. His guiding principles remain consistent and emphasize the importance of upper-management's direct oversight of all projects, regardless of their size or value.

Under Frank's leadership, the firm has proudly constructed a wide array of complex projects and landmark buildings throughout the region. The company maintains strong relationships with a diverse portfolio of clients spanning multiple market sectors.

STEPHEN R. RYAN
Senior Project Manager

Steve joined Banton's forces in 1980 and plays a key role in providing direct oversight of all active projects. Steve has been intimately involved with virtually all of Banton's endeavors throughout the past four decades and has consistently ensured the delivery of high-quality construction and overall client satisfaction.

Steve presently serves as Chairman of the Industrial Advisory Board for Construction Management at Central Connecticut State University. He has been a member of the Board since its inception in 1998.

Steve received a Bachelor's degree from the University of New Haven and is a graduate of Associated General Contractors of America's Supervisory Training Program.

MARC BRONN Controller

Marc provides leadership and direction in all financial management and strategic planning initiatives of the firm. He works closely with Banton's executives as well as the project management team in order to ensure the seamless flow of contractual and administrative functions throughout the company.

Marc spent twelve years in the construction industry as a controller prior to joining Banton in 2009. He is currently a member of the Construction Financial Management Association and is Chairman of the Beacon Falls Board of Finance. Marc holds a Bachelor's degree in Accounting from Southern Connecticut State University.

PAUL BRANDT

Director of Operations

Paul is responsible for overseeing Banton's day-to-day operations. He focuses on proactively addressing productivity, safety and risk management across the organization. Paul also works closely with Banton's marketing manager to coordinate the firm's business development activities.

Paul previously served as Vice President of Operations and Sales for three construction material manufacturing facilities. Prior to that, he played a critical role as British Airways' Manager of Properties and Facilities at JFK and Newark International Airports. Paul is a graduate of St. John's University and holds a Bachelor's degree in Economics.

NICHOLAS M. PULLANO Director - Electrical Division

Nick started his career at Banton in 2001 as an electrical apprentice and as a result of his demonstrated management capabilities; steadily advanced through the ranks to his current position as director of the firm's Electrical Division.

Under Nick's direction, Banton has completed a diverse array of electrical projects for some of the state's largest corporations; as well as various state agencies, municipalities and academic institutions.

Nick obtained his Electrical Unlimited Contractor (E-1) License in 2013 and has held his Electrical Unlimited Journeyman (E-2) License since 2007. Prior to joining Banton, Nick worked as an apprentice for a mid-size electrical contracting firm.

JAMES NENNINGER
Executive Project Manager

James joined Banton in 2011 and has steadily progressed to become a key member of our management team.

James provides direct support to Banton's Senior Project Manager. He works closely with all members of the project team, including both field and office personnel, to ensure that all goals are met.

James has been engaged in the construction industry since 2001. He received his degree in Construction Management from Central Connecticut State University.



339 Washington Avenue * North Haven, CT 06473 203 234 2353 * Fax: 203 234 0010 * www.bantonsconstruction.com

Banton Construction Company Trade & Bank References

<u>Trade References – Suppliers</u>

Colony Hardware Supply Co P.O. Box 21216 New York, NY 10087-1216 P (203) 466-5252 F (860) 466-5240

Electrical Wholesalers 75 Hamilton Street New Haven, CT 06511 Contact: Bill Schaefer P (800) 522-3232 F (203) 776-8566

O&G Industries 112 Wall Street Torrington, CT 06790 Contact: Scott Lockwood P (860) 489-9261 F (860) 626-6436

Probuild 367 Ellington Road East Hartford, CT 06108 Contact: Kevin Goff P (860) 982-5341

Superior Products Distribution Inc. 1403 Meriden-Waterbury Rd Milldale, CT 06467-0348 P (860) 621-7922 F (860) 621-3621

Tilcon CT Sackett Point Road North Haven, CT 06473 Contact: Tim Marti P 860 224 6014 339 Washington Avenue • North Haven, CT 06473 203-234-2353 • Fax: 203-234-0010 • www.bantonconstruction.co.

<u>Trade Reference – Subcontractors</u>

Delmar Electrical Kevin Dunn 5 McLennan Drive Oakville, CT 06779 Phone: (860) 945-9997

G&R Valley Inc Tim Rodrigue 350 Chapel Road South Windsor, CT 06074 Phone: (860) 289-6896

Gold Seal Roofing Matt Roberts 1349 Waterbury Road Thomaston, CT 06787 Phone: (860) 484-8430

Mackenzie Painting Malcom Mackenzie 60 Mead Street Stratford, CT 06615 Phone: (203) 380-9000

Mastrobattisto, Inc. Richard Zaleski 145 Burlington Avenue Bristol, CT 06010 Phone: 860.584.2180 Fax: 860.589.6916

New Haven Glass and Mirror Rich McCormick 47 Laura Street New Haven, CT 06512

Phone: (203) 469-2440

Quality Roofing 599 Island Lane West Haven, CT 06516 Phone: (203) 931-7663



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Bank Reference

Start Community Bank 299 Whalley Ave New Haven, CT 06511 Contact: John DeStefano 203-773-1351

United Bank, NA 670 North Colony Road Wallingford, CT 06492 Contact: Dolores D. Bitz Vice President Cash Management Officer

Phone: (203) 265-1223 Fax: (203) 265-1149

Bonding Reference

Marsh USA, Inc. 20 Church Street Hartford, CT 06103 Contact: John O'Keefe Phone: (860) 723-5674

Insurance Reference (agency)

Shoff Darby Insurance Agency 250 State Street North Haven, CT 06473 Contact: Rob Hart (203) 281-8566 Current Liabilities (e.g., accounts payable, notes payable, accrued expenses, provision for income taxes, advances, accrued salaries and accrued payroll taxes);

Other Liabilities (e.g., capital, capital stock, authorized and outstanding shares par values, earned surplus and retained earnings).

§ 5.1.2 Name and address of firm preparing attached financial statement, and date thereof:
«» Marcum Adnisors
§ 5.1.3 Is the attached financial statement for the identical organization named on page one?
«» Yes
§ 5.1.4 If not, explain the relationship and financial responsibility of the organization whose financial statement is provided (e.g., parent-subsidiary).
« »
§ 5.2 Will the organization whose financial statement is attached act as guarantor of the contract for construction?
«» Yes
§ 6 SIGNATURE § 6.1 Dated at this « » day of « » « » April 2nd, 2020
Name of Organization: «» Banton Construction Company
By: (())
Title: «» Frank Pullano, President
§ 6.2
《》
M « » being duly sworn deposes and says that the information provided herein is true and sufficiently complete so as not to be misleading.
Subscribed and sworn before me this way of w
My Commission Expires: CATHERINE B. SLUBOWSKI Notary Public, State of Connecticut My Commission Expires December 31, 2022
TO THE STATE OF TH